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10-18-01

Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No 09/895,869
3 Filing Date June 28, 2001
3 Inventorship Gates et al.
4 Applicant Microsoft Corporation
4 Attorney's Docket No. MS1-906US
5 Title: Method and Apparatus for Recording Broadcast Data

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

6 To: Commissioner of Patents and Trademarks,
7 Washington, D.C. 20231
8 From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
9 Customer No. 22801



22801

PATENT TRADEMARK OFFICE

10 The following enumerated items accompany this transmittal letter and are being submitted for the
11 matter identified in the above caption.

- 10 1. Transmittal letter including Certificate of Mailing
- 11 2. Notice to File Missing Parts of Application
- 12 3. Executed Declaration
- 13 4. Fee Transmittal
- 14 5. Check in the amount of \$1524.00
- 15 6. Return Post Card

16 Large Entity Status [x] Small Entity Status []

17 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee
18 should be charged to the Deposit Account indicated below.*

19 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
20 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
21 processing fees, including any necessary extension fees under 37 CFR 1.17.

22 Date: 10-16-2001

23 By: 
24 Steven R. Sponseller
25 Reg. No. 39,384

CERTIFICATE OF MAILING

20 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
21 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
22 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
23 below-indicated date. Any Express Mail No. has also been marked on the listed items.

24 Express Mail No. E1887747303

25 Date: 10/16/01

26 By: 
27 Dana L. Calhoun
28



EL887747303

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1524.00)

Complete if Known	
Application Number	09/895,869
Filing Date	6/28/01
First Named Inventor	Gates
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-90645

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0769

Deposit Account Name Lee & Hayes, PLLC

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
47	-20** = 27	X 18 = 486	
5	-3** = 2	X 84 = 168	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 654.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Steven R. Sponseller	Registration No. (Attorney/Agent)	39,384
Signature	<i>Steven R. Sponseller</i>	Telephone	(509)324-9256
		Date	10-16-2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



OCT 16 2001
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,869	06/28/2001	Matthijs Gates	MS1-906US

22801
LEE & HAYES PLLC
421 W RIVERSIDE AVENUE SUITE 500
SPOKANE, WA 99201

CONFIRMATION NO. 5370
FORMALITIES LETTER

OC00000006443355

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/22/2001 BABRAHA1 00000001 09895869

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	486.00 OP
03 FC:102	168.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$646.
 - \$486 for 27 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1486.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE